

## ABOUT US

Finarya is a smart technology platform for end-to-end Integrated Governance, Risk and Compliance. Over the last few years, industries across the board are witnessing fast paced and wide-spread digitization, heightened focus around remote working, stricter regulatory environment and rapidly growing size and complexity of global financial system. These issues underscore the significance of strengthening governance standards around Operational Risk, Compliance Risk, Financial Reporting Risk, Reputation Risk & Governance.

Our product, “**Ethical**”, is designed with a mission to enhance the level of governance and empower organizations to promote transparency in managing the stakeholders for ushering an era to **Reimagine Governance**. **Ethical** platform provides smart configurable dashboarding & reporting capability to review performance metrics across the organization.

**Unified Integrated Governance Framework [UIGF]** module facilitates consolidation of all the observations using smart FIFA functionality across audits, mystery shopping surveys, customer complaints etc. The Ethical platform facilitates managing the end-to-end lifecycle including reporting, followed by response, RCA, and Solution Design by the process owner for permanent closure of the issue.

**Audit Management** will help the organization manage the end-to-end lifecycle of an Audit from Planning, Performing Audit & Issue Management, Reporting to Closure of audit issues. The software also provides various Dashboards & Reports for the Audit Department for presenting the same to the Management and Audit Committee.

**Service Quality** module includes Process Quality and Branch Operations Excellence & Governance (BOEG) assessments (both by the assessors or self-assessment) of the parameters laid down to manage the Branch/ Retail Operations. Parameters/ KPIs are classified into Regulation, Customer Experience & Business for evaluating the score.

**Operational Risk Management** module will facilitate creation of RCMs, conduct Risk and Control Self-Assessments (RCSA), monitoring Key Risk Indicators (KRI), reporting and management of loss incidents on an on-going basis.

**Compliance Management** module will help analyze regulatory risk, perform the compliance testing at periodic intervals, monitoring Key Compliance Indicators (KCI), manage reporting of regulatory observations within the organization and obtain responses from the respective stakeholders.

**Law Enforcement Notices System [LENS]** is an end-to-end digital platform to manage the statutory notices (manual & email) received by the organization. The platform has a strong workflow driven functionality to assign notices to multiple stakeholders to obtain timely response. Robust RCM repository facilitates circling back identification and rectification of fail prone processes to mitigate statutory & regulatory risk.

**Environmental, Social & Governance [ESG]** has become increasingly important for businesses. ESG is all about understanding and managing the risks associated with your business. It's about making sure you are doing what is necessary to be a responsible corporate citizen. The platform will drive sustainability goals and help meet the reporting requirements for an organization.

The **Credit Card Governance [CCG]** module facilitates consolidation of all the observations using smart FIFA functionality across various streams such as Audit of co-branded cards (e.g., Flipkart, Amazon, Vistara etc.), mystery shopping surveys, customer complaints etc. The Ethical platform facilitates managing the end-to-end lifecycle including reporting, followed by response, RCA, and Solution Design by the process owner for permanent closure of the issue.